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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

	Complete if Known	
Application No.	10/600,137	
Filing Date	June 20, 2003	
First Named Inventor	Kevin Ka-Shun Fung	*
Art Unit	3624	
Examiner Name	Not yet known	
Attorney Docket Number	23876-09108	

			U.S. PATE	NT DOCUMENTS
		Document No.	·	
Examiner Initials*	Cite No.	Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/A.V./		US-2001/0032175 A1	10-18-2001	Holden et al.
		US-2001/0034691 A1	10-25-2001	Guarino et al.
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	1	CN 1315709 A	10 03 2001	Future Circulation Network Co. Ltd.	
/A V /	2	WO 02/056146 A2	07-18-2002	Vertical Crossings.com, Inc.	
	3	WO 02/13111 A1	02-14-2002	The Debt Exchange, Inc.	
	4	WO 02/37390 A2	05-10-2002	Liquidity Direct	
$\overline{}$	5	WO 03/050654 A2	06-19-2003	Bank One	

	·	OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁴

Examiner Signature	/Abhishek Vyas/	Date Considered	02/07/2008
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique citation designation number (optional). 2See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

FORM PTO-1449 (Modified)			ATTY. DOCKET NO.		SERIAL N	SERIAL NO.	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			2702P		To Be Ass	igned	
		APPLICANT: FUNG					
(Use several sheets if necessary)			FILING DATE: Herewith		GROUP: To Be Ass	GROUP: To Be Assigned	
EFERENCE	DESIGNATION	U.S. PATE	NT DOCUMEN	TS			
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		Vyas/	DATE CONS	SIDERED	02/07/	/2008	